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PPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/713,552	11/14/2003	Duo Deng	. 130209.513	7977
500 7:	590 11/03/2006		EXAMINER	
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC			AMRANY, ADI	
701 FIFTH AV	Έ		<u> </u>	
SUITE 5400			ART UNIT	PAPER NUMBER
SEATTLE, W.	A 98104		2836	

DATE MAILED: 11/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)	
	10/713,552	DENG ET AL.	
Office Action Summary	Examiner	Art Unit	
	Adi Amrany	2836	
The MAILING DATE of this communication Period for Reply	appears on the cover sheet w	th the correspondence address	
A SHORTENED STATUTORY PERIOD FOR RE WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by stany reply received by the Office later than three months after the mearned patent term adjustment. See 37 CFR 1.704(b).	B DATE OF THIS COMMUNION 1.136(a). In no event, however, may a representation of the community of the commun	CATION. reply be timely filed ITHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 10	<u>0 July 2006</u> .		
,	This action is non-final.		
3) Since this application is in condition for allo			
closed in accordance with the practice unde	er <i>Ex parte Quayle</i> , 1935 C.D	ı. 11, 453 O.G. 213.	
Disposition of Claims			
4) Claim(s) 1-25 is/are pending in the applicat	ion.		
4a) Of the above claim(s) is/are with	drawn from consideration.		
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>1-25</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction an	d/or election requirement.		
Application Papers			
9)☐ The specification is objected to by the Exam	niner.		
10) The drawing(s) filed on is/are: a) ☐ a	accepted or b) objected to	by the Examiner.	
Applicant may not request that any objection to	the drawing(s) be held in abeyar	ice. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the cor	rection is required if the drawing	(s) is objected to. See 37 CFR 1.121(d).	
11)☐ The oath or declaration is objected to by the	Examiner. Note the attached	d Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for fore	eign priority under 35 U.S.C. §	3 119(a)-(d) or (f).	
a) All b) Some * c) None of:	anta bassa basa sasabsad		
1. Certified copies of the priority docum		polication No.	
2. Certified copies of the priority docum3. Copies of the certified copies of the priority docum			
application from the International Bur	•	received in this National Stage	
* See the attached detailed Office action for a		received.	
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Attachment(s)	n □	Cummon (DTO 442)	
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) 	· 	Summary (PTO-413) s)/Mail Date	
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date		nformal Patent Application	

DETAILED ACTION

1. Applicant's request for reconsideration of the finality of the rejection of the last Office action is persuasive and, therefore, the finality of that action is withdrawn.

Response to Arguments

- 2. The objection to claims 19 and 20 are withdrawn. Independent claim 19 will be interpreted as including dual-phase, single-phase, or more phases, as stated in the specification (page 17, lines 5-7) and in the remarks (pages 9-10).
- 3. Applicant's arguments with respect to claims 19-20 have been considered but are moot in view of the new ground(s) of rejection.

Applicants contend that the Janonis (US 5,612,580) reference does not teach or disclose each of the claimed limitations, namely, that of monitoring of the DC bus voltage on the DC bus. The non-final rejection (April 20, 2006) included a statement of official notice (even though not expressly stated as such) that "it would be obvious to a person of ordinary skill in the art that the voltage level of the power source can be sensed either as an AC voltage before the AC source converter, or as a DC voltage after the converter." Applicants have traversed the Examiner's statement of Official Notice and request that the Examiner provide citations regarding this assertion.

Although the AC input signal may experience some voltage loss through the components of the converter, Official Notice is taken that the DC output voltage and changes thereto are proportional to the AC input voltages and changes in the input (including a loss of signal) and that it would be obvious to a person skilled in the art that

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sensing an AC voltage before an AC/DC converter would be equivalent to sensing the DC output at the output of the converter.

Listed below are citations to several US patents and the support for the official notice taken in present application:

Weinstein (US 5,939,799) discloses an uninterruptible power supply comprising an AC/DC converter and a voltage threshold detection unit that alternatively detects the AC input or DC output voltage of the AC/DC converter (figure 1, items, 121, 122, 123; column 3, lines 6-14).

Sakai (US 6,784,641) discloses an uninterruptible power supply comprising an AC/DC converter and a state detection unit (figure 1, item 22) that senses both the AC input (column 5, lines 1-5) and DC output (column 9, lines 9-18).

Morris (US 4,317,052) discloses an AC/DC converter where the DC output voltage is proportional to the AC input voltage (column 1, lines 6-16; column 3, lines 1-26).

Rödel (US 4,109,308) discloses an AC/DC converter where the DC output voltage is proportional to the AC input voltage (column 3, lines 11-42).

Togneri (US 3,942,095) discloses prior art AC/DC converters with DC outputs that are proportional to AC inputs (column 1, lines 31 to column 2, line 5).

Gadberry (US 3,564,387) discloses an AC/DC converter where the DC output voltage is proportional to the AC input voltage (column 3, lines 6-14).

Bravenec (US 3,411,066) discloses an AC/DC converter where the DC output voltage is proportional to the AC input voltage (column 3, lines 31-41).

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Furthermore, official notice is taken that in sensing any AC voltage level, the AC signal is converted to a DC signal, which is representative of peak, average, or the root mean square (rms) values of the AC signal. The DC sensing device would be configured to compensate for the voltage losses/drops of the converter. The sensed DC voltages are proportional to the AC input voltage. See Bravenec (column 1, lines 35-41) and Sherman (US 4,510,454) (column 3, lines 1-15).

Applicants also contend that Tassitino (US 5,633,539) does not teach or disclose monitoring and/or sensing a DC bus voltage. However, as discussed in the non-final rejection, and as repeated below, Tassitino is relied upon because it establishes the use of a three-phase AC input signal in an uninterruptible power supply. It is not necessary that it disclose or teach all of the limitation of the present application. A rejection under 35 U.S.C. 103(a) allows for the combination of multiple references to reject limitations presented in a claim. See MPEP §706.02(j).

Claims 19-20 are rejected under §103, as discussed below. Since this is a new ground for rejection of these claims, this Office Action is made non-final.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. Claims 19-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Janonis (US 5,612,580).

With respect to claim 19, Janonis discloses the apparatus necessary to complete the recited method. Janonis discloses a method for responding to electrical power source irregularities in an uninterruptible power supply system (figure 1, item 10; column 2, lines 26-27), comprising:

providing an uninterruptible power supply system comprising an AC source converter (figure 1, item 32; column 3, line 60 to column 4, line 3) connectable to an AC power source (figure 1, item 12) and an AC load converter (figure 1, item 36) connectable to a load (figure 1, item 14), wherein the converters are interconnected by a DC bus (inherent, as discussed above);

interconnecting a rechargeable DC power supply (figure 1, item 28; column 3, lines 5-24) to the DC bus (figure 1, connection between items 32-34-36, column 3, line 60 to column 4, line 3);

monitoring DC bus voltage on the DC bus (figure 1, items 12, 20; column 2, lines 39-43; column 3, lines 25-35);

establishing a first DC bus threshold voltage (column 3, lines 36-45) indicative of a first power source irregularity and a second DC bus voltage threshold (column 4, lines 9-15) indicative of a second and distinct power source irregularity, wherein the first threshold is greater than the second threshold;

comparing the DC bus voltage to the first and second thresholds (column 2, lines 39-43; column 3, lines 43-45);

commuting electrical power from both the power source and from the DC power supply to the DC bus when the DC bus voltage is intermediate the first and second thresholds (figure 1, items 20, 22; column 3, lines 46-59); and

conversely commuting electrical power only from the DC power supply to the DC bus when the DC bus voltage is less than the second threshold, and disabling the source converter (column 4, lines 16-25).

Janonis does not expressly discloses monitoring a DC voltage on the DC bus, however, the examiner takes official notice that the voltage level of the power source can be sensed either as an AC voltage before the AC source converter, or as a DC voltage after the converter. Both AC and DC sensed voltages are directly related to the status of the AC input. The sensed voltages (AC and DC) are proportional to each other and to any changes/deviations in the level of the AC source voltage. The references in support of the official notice are listed above.

With respect to claim 20, Janonis discloses the method of claim 19, and further discloses establishing predetermined quality criteria for acceptable power source quality (column 3, lines 43-45), monitoring power source voltage and current parameters on an input side of the source converter (column 3, lines 29-31) and commuting electrical power only from the DC power supply to the DC bus and disabling the source converter when the power source voltage fails to meet the predetermined quality criteria indicative of a power source failure (column 4, lines 9-15).

The Janonis UPS monitors the amplitude and frequency of in the input voltage to determine if it meets the quality criteria.

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6. Claims 1-5, 8-14, 17-18, 21-23, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Janonis in view of Tassitino (US 5,633,539).

With respect to claims 1-5 and 8-9, the apparatus necessary to complete the recited methods is rejected, as provided below in the rejection of claims 10-14 and 17-18, respectively.

With respect to claim 10, Janonis discloses an apparatus for responding to electrical power source irregularities in an uninterruptible power supply system (figure 1, item 10; column 2, lines 26-37) comprising a rechargeable DC power supply (figure 1, item 28; column 3, lines 5-24) interconnected to a DC bus (figure 1, connection between items 32-34-36, column 3, line 60 to column 4, line 3), comprising:

means for monitoring (figure 1, items 12, 20; column 2, lines 39-43; column 3, lines 25-35) DC bus voltage on the DC bus;

establishing means (column 3, lines 36-45, column 4, lines 9-15) for establishing a first DC bus voltage threshold indicative of a first power source irregularity and a second DC bus voltage threshold indicative of a second and distinct power source irregularity, wherein the first threshold is greater than the second threshold;

comparing means (column 2, lines 39-43; column 3, lines 43-45)for comparing the DC bus voltage to the first and second thresholds;

commuting means (figure 1, items 20, 22) for commuting electrical power from both the power source and from the DC power supply to the DC bus when the DC bus voltage is intermediate the first and second thresholds (column 3,

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lines 46-59), and for conversely commuting electrical power only from the DC power supply to the DC bus when the DC bus voltage is less than the second threshold and for disabling the source converter (column 4, lines 16-25).

Janonis does not expressly disclose monitoring a DC voltage on the DC bus, however, the examiner takes official notice that the voltage level of the power source can be sensed either as an AC voltage before the AC source converter, or as a DC voltage after the converter.

Next, Janonis does not expressly disclose an uninterruptible power supply system comprising a three phase AC source converter connectable to a three phase AC power source and a three phase AC load converter connectable to a three phase load, wherein the converters are interconnected by a DC bus.

Tassitino discloses an uninterruptible power supply system (figure 1, item 100), comprising a three phase AC source converter (item 160) connectable to a three phase AC power source (item 110) and a three phase AC load converter (item 130) connectable to a three phase load (item 170), wherein the converters are interconnected by a DC bus (connection between items 160, 262, and 130). See also column 3, lines 17-34.

Janonis and Tassitino are analogous because they are from the same field of endeavor, namely uninterruptible power supplies that comprise backup DC power supplies with adjustable output voltages (Tassitino, column 3, lines 35-67).

At the time of the invention by applicants, it would have been obvious to a person of ordinary skill in the art to combine the uninterruptible power supply system

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comprising the off-line and on-line modes disclosed in Janonis with the 3 phase power supply, converters, and load disclosed in Tassitino.

The motivation for doing so would have been to apply the uninterruptible power system of Janonis to support a system that utilizes three-phase AC power, since it is a common power output delivered by utility companies.

With respect to claim 11, Janonis and Tassitino disclose the apparatus of claim 10, and Tassitino further discloses the three-phase AC power source is a public power grid (column 3, lines 17-20). The common method of transmitting power from a public utility to the customer is through a public power grid.

With respect to claim 12, Janonis and Tassitino disclose the apparatus of claim 11. Janonis further discloses the first power source irregularity is a transitory source instability (column 3, lines 43-45, "sag or frequency deviation") and the second power source irregularity is a power source failure (column 4, lines 9-15). The second threshold value in Janonis is lower than the first threshold value. Therefor, an input voltage that falls below the second threshold value may be considered more severe, and labeled a "power source failure." It is also inherent in Janonis that a power source failure that results in a full loss of supplied power to the UPS would be recognized as falling below the second threshold level, and would trigger the second on-line mode of operation.

With respect to claim 13, Janonis and Tassitino disclose the apparatus of claim 10, and Janonis further discloses where the first power source irregularity is a transitory

source instability and the second power source irregularity is a power source failure, as discussed above.

With respect to claim 14, Janonis and Tassitino disclose the apparatus of claim 10. Janonis further discloses:

grid failure establishing means (column 3, lines 29-30) for establishing predetermined quality criteria for acceptable power source quality;

power source monitoring means (column 3, lines 30-35) for monitoring source voltage and current parameters for each phase on an input side of the source converter;

and power source failure commuting means (column 4, lines 17-19) for commuting electrical power only from the DC power supply to the DC bus and disabling the source converter when the source voltage fails to meet the predetermined quality criteria indicative of a power source failure.

As discussed above, the UPS in Janonis senses the input AC voltage before it is converted to DC, and it would be obvious to apply the three-phase AC UPS system disclosed in Tassitino to the AC UPS system disclosed in Janonis.

With respect to claim 17, Janonis and Tassitino disclose the apparatus of claim 10, and Janonis further discloses a plurality of rechargeable DC power supplies (figure 1, item 28; column 2, line 67 to column 3, line 4) connected in parallel to each other and to the DC bus (figure 1, interconnection between items 32-34-36), and sequential DC power control means (column 9, lines 8-19) for using power from each DC power supply sequentially when a power source irregularity is indicated. Janonis discloses that as

more power is required to compensate for irregularities in the AC input voltage, more DC power supplies are activated.

Although Janonis does not expressly disclose that the rechargeable DC power supplies are connected in parallel to each other, it would have been obvious to combine each of the batteries in the Janonis battery array in parallel. The motivation for doing so would have been to increase the current supplied to the DC bus while maintaining a constant voltage. It is known in the art that voltage sources arranged in parallel act to add their respective current outputs while outputting a constant voltage level.

With respect to claim 18, Janonis and Tassitino disclose the apparatus of claim 10, and Janonis further discloses the first threshold is 95-105 volts AC and the second threshold is 75 volts AC. As discussed above, it would be obvious that sensing the AC input voltage or converted DC input voltage would yield the same results regarding irregularities in the power source. Persons of ordinary skill in the art would extend or expand the operating threshold range to accommodate higher voltages for systems and loads having different design requirements. It has been decided that where the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation. *In re Aller*, 220 F.2d 454, 456, 105 USPQ 223, 235 (CCPA 1955).

With respect to claim 21, Janonis discloses an apparatus for responding to electrical power source irregularities in an uninterruptible power supply system (figure 1, item 10; column 2, lines 26-27) comprising a rechargeable DC power supply (figure 1,

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item 28; column 3, lines 5-24) interconnected to a DC bus (figure 1, connection between items 32-34-36, column 3, line 60 to column 4, line 3), comprising:

an uninterruptible power supply system comprising a three phase AC source converter (figure 1, item 32; column 3, line 60 to column 4, line 3) connectable to a three phase AC power source (figure 1, item 12) and a three phase AC load converter (figure 1, item 36) connectable to a three phase load (figure 1, item 14), wherein the converters are interconnected by a DC bus;

a number of voltage sensors (figure 1, items 18, 30, 54; column 2, lines 39-47) coupled to sense DC bus voltage on the DC bus;

a controller (figure 1, item 20; column 3, lines 29-35) configured to compare the DC bus voltage to a first DC bus voltage threshold (column 3 lines 36-45) indicative of a first power source irregularity and a second DC bus voltage threshold (column 4, lines 9-15) indicative of a second and distinct power source irregularity, wherein the first threshold is greater than the second threshold (column 3, lines 39-41); and further configured to provide control signals to at least one of the three phase AC source converter and the three phase load converter to *commute* electrical power from both the power source and from the DC power supply to the DC bus when the DC bus voltage is intermediate the first and second thresholds (column 3, lines 46-59), and for conversely commuting electrical power only from the DC power supply to the DC bus when the DC bus voltage is less than the second threshold and for disabling the source converter (column 4, lines 13-25).

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Official notice is given that the voltage level of the power source can be sensed either as an AC voltage before the AC source converter, or as a DC voltage after the converter, as discussed above.

Tassitino discloses the three phase AC source voltage, as discussed above.

With respect to claim 22, Janonis and Tassitino disclose the apparatus of claim 21. Janonis further discloses the first power source irregularity is a transitory source instability (column 3, lines 43-45) and the second power source irregularity is a power source failure (column 4, lines 9-15).

With respect to claim 23, Janonis and Tassitino disclose the apparatus of claim 21, and Janonis further discloses a number of power source voltage sensors (figure 1, item 18; column 2, lines 39-43) coupled to sense a source voltage for each phase on an input side of the source converter, and a number of power source current sensors coupled to sense a source current for each phase the input side of the source converter (figure 1, item 18), wherein the controller is further configured to *commute* electrical power only from the DC power supply to the DC bus and disabling the source converter when the source voltage fails to meet a predetermined quality indicative of a power source failure (column 4, lines 9-15).

Janonis discloses a line condition sensor (item 18) for measuring diagnostic information on the power source line. While Janonis does not expressly disclose that the condition sensor is either a voltage or current sensor, it would have been obvious to a person of ordinary skill in the art that the condition sensor meets the requirements of both. In the recited apparatus, the voltage and current sensors are interchangeable.

They perform the same function and yield proportional results regarding the quality of the power source.

Further, Janonis discloses a condition sensor, but does not expressly disclose that the condition sensor comprises *a number* of voltage and/or current sensors. It would have been obvious to one having ordinary skill at the time the invention was made to place a plurality of voltage and/or current sensors at the input side of the source converter, and to place a voltage and/or current sensor to measure each AC phase line, because the mere duplication of the essential working parts of a device involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8 (1977).

With respect to claim 25, Janonis and Tassitino disclose the apparatus of claim 21, and Janonis further discloses a plurality of rechargeable DC power supplies (figure 1, item 28; column 2, line 67 to column 3, line 4) connected in parallel to each other and to the DC bus (figure 1, connection between items 32-34-36), wherein the controller is configured to use power from each DC power supply sequentially when a power source irregularity is indicated.

The arrangement of the rechargeable DC power supplies in parallel is obvious, as discussed above.

7. Claims 6-7, 15-16, and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Janonis, in view of Tassitino, and in further view of Faria (US 6,295,215).

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With respect to claim 6 and 7, the apparatus necessary to complete the recited method is unpatentable, as discussed below in the rejections of claims 15 and 16, respectively.

With respect to claim 15, Janonis and Tassitino disclose the apparatus the apparatus of claim 14, but do not expressly disclose instantaneous monitoring means for monitoring instantaneous load voltage and current parameters for each phase on an output side of the load converter, load power calculating means for calculating a load power demand value from the instantaneous parameters, transient power supplying means for supplying power to the DC bus from the DC power supply when a transient power source irregularity is indicated, and command signal generating means for generating a command signal to the DC power supply indicative of additional current needed by the load to supplant power lost form the AC power source due to the irregularity.

Faria discloses an uninterruptible power supply apparatus comprising:

instantaneous monitoring means (figure 3, item 325, 324; column 6, lines 23-27) for monitoring instantaneous load voltage and current parameters for each phase on an output side of the load converter;

load power calculating means (figure 3, item 325, 322; column 6, lines 27-

3) for calculating a load power demand value from the instantaneous parameters;

transient power supplying means (column 6, lines 11-15) for supplying power to the DC bus from the DC power supply when a transient power source irregularity is indicated;

and command signal generating means (column 6, lines 37-45) for generating a command signal to the DC power supply indicative of additional current needed by the load to supplant power lost from the AC power source due to the irregularity.

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Faria discloses an "economy mode", in which both the AC power source and DC power supply contribute to the output voltage. It is obvious that any sag or drop in the voltage level of the AC power source will be supported by an increase in the voltage output of the DC power supply. Therefor, the "economy mode" compensate disclosed in Faria comprises a command signal generating means.

Janonis, Tassitino, and Faria are analogous because they are from the same field of endeavor, namely uninterruptible power supplies that comprise backup DC power supplies with adjustable output voltages.

At the time of the invention by applicants, it would have been obvious to a person of ordinary skill in the art to combine the three-phase AC uninterruptible power supply system comprising the off-line and on-line modes disclosed in Janonis and Tassitino with load converter output current and voltage sensing disclosed in Faria.

The motivation for doing so would have been to create an uninterruptible power system that can detect a sag, drop, or irregularity in input voltage. The AC input voltage may be calculated based on the sensed load voltage minus the DC power supply voltage, which is known.

With respect to claim 16, Janonis and Tassitino disclose the apparatus of claim 10. Faria, as discussed above, discloses the apparatus comprises instantaneous monitoring means for monitoring instantaneous load voltage and current parameters for each phase on an output side of the load converter, load power calculating means for calculating a load power demand value from the instantaneous parameters, transient power supplying means for supplying power to the DC bus from the DC power supply when a transient power source irregularity is indicated, and command signal generating means for generating a command signal to the DC power supply indicative of additional current needed by the load to supplant power lost form the AC power source due to the irregularity.

With respect to claim 24, Janonis and Tassitino disclose the apparatus of claim 23.

Faria discloses an uninterruptible power supply apparatus comprising:

a number of voltage sensors (figure 3, item 325, 324; column 6, lines 23-27) coupled to instantaneously sense load voltage for each phase of an output side of the load converter;

a number of current sensors (figure 3, item 325, 324) coupled to instantaneously sense load current for each phase on an output side of the load converter, wherein the controller is further configured to calculate a load power demand value (figure 3, item 325, 322; column 6, lines 27-3) from the instantaneous load voltage and the instantaneous load current;

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a transient power switch (column 6, lines 11-15) selectively operable to couple the DC power supply to the DC bus to supply from the DC power supply [sic] when a transient power source irregularity is indicated; wherein the controller is further configured to generate a command signal (column 6, lines 37-45) to the DC power supply indicative of additional current needed by the load to supplant power lost from the AC power source due to the irregularity.

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The plurality of voltage/current sensors, coupled to sense the load for each phase of the output side of the load converter, is obvious in view of the duplication of parts, as discussed in the rejection of claim 23. Further, the interchangeability of voltage and current sensors would be obvious to a person skilled in the art, as discussed above.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Adi Amrany whose telephone number is (571) 272-0415. The examiner can normally be reached on weekdays, from 9am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Sircus can be reached on (571) 272-2800 x36. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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AA

BURTON S. MULLINS PRIMARY EXAMINER

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